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Temporal Dynamics of Brain Activity Predicting Sense of Agency over Muscle Movements

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46

Abstract

47 Our muscles are the primary means through which we affect the external world, and the sense of 48 agency (SoA) over the action through those muscles is fundamental to our self-awareness. 49 However, SoA research to date has focused almost exclusively on agency over action outcomes 50 rather than over the musculature itself, as it was believed that SoA over the musculature could 51 not be manipulated directly. Drawing on methods from human-computer interaction and adaptive 52 experimentation, we use human-in-the-loop Bayesian optimization to tune the timing of 53 electrical muscle stimulation so as to robustly elicit a sense of agency over electrically-actuated 54 muscle movements in male and female human subjects. We use time-resolved decoding of 55 subjects' EEG to estimate the time course of neural activity which predicts reported agency on a trial-by-trial basis. Like paradigms which assess SoA over action consequences, we found that 56 57 the late (post-conscious) neural activity predicts SoA. Unlike typical paradigms, however, we 58 also find patterns of early (sensorimotor) activity with distinct temporal dynamics predicts 59 agency over muscle movements, suggesting that the "neural correlates of agency" may depend 60 on the level of abstraction (i.e., direct sensorimotor feedback vs. downstream consequences) 61 most relevant to a given agency judgment. Moreover, fractal analysis of the EEG suggests that 62 SoA-contingent dynamics of neural activity may modulate the sensitivity of the motor system to 63 external input.

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Significance Statement

66 The sense of agency – the feeling of "I did that" – when directing one's own musculature is a 67 core feature of human experience. We show that we can robustly manipulate the sense of agency 68 over electrically actuated muscle movements, and we investigate the time course of neural

- 69 activity that predicts the sense of agency over these actuated movements. We find evidence of
- 70 two distinct neural processes a transient sequence of patterns that begins in the early
- 51 sensorineural response to muscle stimulation and a later, sustained signature of agency. These
- results shed light on the neural mechanisms by which we experience our movements as

73 volitional.

74

75 1. Introduction

Voluntary movements are usually accompanied by an experience of "I did that." This feeling is the sense of agency (SoA), which is considered a basic building block of conscious selfhood (Gallagher, 2000; Haggard, 2008). Pathologies affecting SoA – including schizophrenia (Frith, 2012), alien hand syndrome (Panikkath et al., 2014), perceived (non-)control of a phantom limb (Ramachandran and Hirstein, 1998), automatic "utilization behavior" (Lhermitte et al., 1986), and learned paralysis (Wolf et al., 1989) – are often characterized by anomalies in the experience of control over the body itself (i.e., the musculature) rather than external action outcomes per se.

83 SoA research in healthy populations, however, has focused primarily on external 84 consequences of action (Haggard, 2008, 2017). While some studies have manipulated bodily 85 agency by delaying visual feedback from movements, such manipulations leave intact the 86 somatic sensation of muscle movement, over which the subject might still feel agency in the 87 absence of SoA over the decoupled visual stimulus (Tsakiris et al., 2010; Abdulkarim et al., 88 2023). Others have noted the lack of experimental paradigms addressing "narrow" SoA over 89 muscles as opposed to "broad" SoA encompassing action outcomes (Christensen and Grünbaum, 90 2018). The field often assumes conclusions drawn from paradigms investigating SoA over a tone 91 following a button press will generalize to other classes of agency judgments. As such, the 92 literature tends to treat SoA as a homogenous phenomenon always accompanied by the same 93 neural correlates. However, an alternative hypothesis is that the neural correlates of SoA may 94 vary as a *function of modality* (e.g., proprioceptive vs. auditory) or the *level of abstraction* for a 95 given judgment (Charalampaki et al., 2022). Indeed, it has been argued current models may not 96 generalize to SoA over the musculature (Christensen and Grünbaum, 2018) or over thoughts 97 (Frith, 2012). This discrepancy bears on a fundamental question of whether mechanisms that

give rise to the experience of an agentic self are common across scales of biological and socialbehavioral organization – and if not, how and why do we assign agency to the same unified self
at these different scales (Veillette et al., 2023a)?

101 One reason for the shortage of paradigms assessing SoA over movements is that control 102 over one's own muscles is normally unambiguous. Indeed, previous attempts to elicit SoA for 103 experimenter-evoked (e.g., by TMS) movements have not succeeded (Haggard and Clark, 2003; 104 Christensen and Grünbaum, 2018), leading to the conclusion that "involuntary movements are 105 never accompanied by a sense of agency" (Haggard, 2017). However, since cognitive scientists 106 favor indirect SoA measures such as intentional binding (perceived delay) between actions and 107 outcomes, these findings primarily reflect SoA over outcomes rather than SoA over the muscles 108 (Haggard et al., 2002). Meanwhile, human-computer interaction researchers have begun 109 investigating SoA in interfaces that use electrical-muscle stimulation (EMS) to drive users' 110 muscles. They find, in contrast, that subjects report EMS-caused movements as self-caused so 111 long as stimulation temporally aligns with users' endogenous intention to move (Kasahara et al., 112 2019, 2021; Tajima et al., 2022). Cognitive neuroscientists have vet to embrace these findings, 113 partly because self-reports may result from response biases (Dewey and Knoblich, 2014), lacking 114 convergent validation from neural measurements.

Thus, in the present work, we "preempt" subjects' endogenous movements with EMS during a cue-response reaction time task, using manipulating stimulation timing to control the proportion of EMS-caused movements perceived as self-caused. Using time-resolved decoding of subjects' trial-by-trial EEG, we show that cortical activity predicts agency judgments about resulting muscle movements as early as 83 ms following stimulation, showing that subjects' selfreport has a basis in early, pre-conscious sensorimotor processing – not just a response bias.

Notably, this result differs from those obtained using typical button-tone paradigms, where early evoked responses (to tones) have repeatedly failed to predict subjective agency judgments (Kühn et al., 2011; Timm et al., 2016). Finally, an exploratory analysis shows that fractal measures also predict SoA, suggesting that complexity of sensory processing may differ for sensations perceived as movement feedback.

126

127 2. Materials and Methods

128 2.1. Methods Summary

129 The goal of our experimental design was to evoke movements using electrical muscle 130 stimulation (EMS) in which roughly 50% of such movements were perceived as self-caused 131 (agency) and 50% as EMS-caused (non-agency), even though all such movements are in fact EMS-caused. Previous work has shown that EMS-caused movements are perceived as self-132 133 caused if they modestly preempt subjects' natural movements in a reaction time task (Kasahara 134 et al., 2019; Tajima et al., 2022), and varying the timing of stimulation has been used to 135 manipulate agency (Kasahara et al., 2021). However, this manipulation results in the stimulation 136 latencies being systematically different between agency and non-agency trials, presenting a clear 137 confound for neural analysis. To this end, we designed a procedure in which stimulation timing 138 is tuned on a per-subject basis to a latency at which subjects report (without further manipulation 139 of stimulation latency) that movements were self-caused on approximately half of trials (see 2.3 140 below). This results in maximally similar distributions of stimulation latency across agency and 141 non-agency trials.

142 In our analysis, we aim to identify patterns in the scalp EEG response to muscle 143 stimulation that robustly predict whether resulting muscle movements are perceived as self-

144 caused or perceived as EMS-caused on a trial-by-trial basis, with a particular focus on the 145 temporal characteristics of those patterns. Firstly, we train a linear classifier at each time (relative 146 to stimulation onset) throughout the epoch, and test its generalization performance across 147 subjects and across time (see 2.4.2). An advantage of this approach is that it gives us information 148 not just about when patterns that predict agency emerge, but how long those pattern remains 149 present and continually predictive (King and Dehaene, 2014). This allows us to differentiate, for 150 instance, patterns of neural activity that appear only transiently from those that are sustained over 151 time. In addition, we assess whether complexity measures of the EEG response – the fractal 152 dimension, and index of signal complexity, and the Hurst exponent, and index of long-range 153 temporal dependency – predict trial-by-trial SoA. These complexity features allow us to uncover some of the qualitative characteristics of neural dynamics (e.g. sensitivity to perturbation, scale-154 155 freeness or self-similarity) in the presence and absence of SoA, though it should be noted since 156 this latter analysis was exploratory, its evidential value should be weighted accordingly.

157 2.2. Participants and Ethics Statement

158 25 University of Chicago undergraduate students (6 male, 19 female, ages 19-24) 159 participated in the study; however, two subjects were subsequently excluded for noncompliance 160 with task instructions (i.e., one admitted to letting the electrical stimulator perform the task 161 without attempting a volitional button press, and another pressed the button continually to speed 162 through the task instead of when cued). Participants were recruited through the University of 163 Chicago's human subject recruitment system, SONA Systems. All subjects gave written, 164 informed consent before participating. All of the methods performed in the study were in 165 accordance with relevant safety and ethics guidelines for human subject research and were

166 approved by the Social and Behavioral Sciences Institutional Review Board at the University of

167 Chicago (IRB19-1302). This study was not a clinical trial.

168 2.3. Experimental Design

Subjects completed three blocks of trials: a pretest block (30 trials), a stimulation block (250 trials), and a posttest block (30 trials). After initiating each trial, subjects waited for a visual indicator to cue their movements (see Figure 1b). After the visual indicator was triggered (2-4 seconds, uniformly distributed after trial start), subjects attempted to press a button (on a *Cedrus RB-620* button box; California, United States) as quickly as possible. After the button was pressed, the trial ended.

During the stimulation block, however, electrical muscle stimulation (EMS) was applied to the forearm after the cue to move, with the aim of preempting subjects' self-caused movement with an EMS-caused movement (see Figure 1c). After each trial, subjects were asked to report whether they caused the movement (agency) or the EMS caused the movement (non-agency).

179 Stimulation timing was adjusted on a trial-by-trial basis using a Bayesian optimization 180 procedure designed to apply EMS as close as possible to the stimulation latency at which 181 subjects would report agency with 50% probability (see Figures 1c, 2). Specifically, after each 182 trial, we fit a Bayesian logistic regression predicting the probability of SoA from stimulation 183 latency with a log-normal prior on the 50% threshold, centered 40 ms before subject's mean reaction time observed during the pretest block and a log-normal (and thus constrained to be 184 185 positive) slope prior. This 40 ms prior on preemptive timing was based on that reported in 186 previous work (Kasahara et al., 2019, 2021). Each trial's stimulation latency was drawn from the 187 posterior distribution (truncated between 50-600 ms post-cue) of the 50% threshold in the

logistic function fit after the previous trial. If the subject pressed the button before stimulation was delivered, stimulation occurred immediately upon the button press so that the onset of the electrical stimulation, which causes a perceptible though painless tingling sensation on the skin, was always temporally confusable with that of the movement. However, due to the speed at which the optimization procedure converges to reliably preempt subjects' movements, such instances were quite rare (see *3.1* in *Results*).

194 Visual stimulus presentation was implemented using PsychoPy (Peirce, 2007) and
195 Bayesian optimization using the Python-embedded probabilistic programming language Pyro
196 (Bingham et al., 2019). All code has been made available (see 2.7).

197

198 2.4. Statistical Analysis

199 2.4.1. Manipulation Checks and Outlier Removal

200 First, outlier removal was applied to remove trials in which muscle movement was not caused by 201 electrical stimulation. Thus, we removed trials in which (a) subjects pressed the button prior to 202 stimulation, (b) the recorded response time was outside the stimulation time window (i.e. greater 203 than 600 ms), or (c) the lag between the EMS pulse and the corresponding button press fell 204 outside of the middle 95% of the best fit log-normal distribution, indicating ineffective 205 stimulation or the subjects' endogenous movement coinciding with the EMS-caused movement. 206 These steps removed an average of 30.8 trials per subject, after measured "reaction time" (button 207 press) is a roughly linear function of the stimulation latency (see Figure 3b).

To assess whether we were truly preempting subjects' movements, we then fit a Bayesian multilevel model to the trial-by-trial reaction times in each experiment block with the *Bambi* package (Capretto et al., 2022) using the conservative default priors (Westfall, 2017). If we were

preempting subjects' movements, then reaction times in the stimulation block should be fasterthan in both the pretest or the posttest block.

213 As a final manipulation check, we then assessed whether stimulation latencies differed 214 systematically between agency and non-agency trials. While prior work has shown that agency 215 judgments vary as a function of stimulation latency (Kasahara et al., 2019, 2021; Tajima et al., 216 2022), the aim of our Bayesian optimization procedure was to minimize this confound by 217 maximizing the overlap in stimulation latencies across agency and non-agency trials. 218 Consequently, we fit a logistic regression predicting agency judgments from stimulation latency 219 (with a random effect per subject, as in our EEG decoding analysis) to test whether any residual 220 relationship between the two is strong enough to drive our EEG results.

221

222 2.4.2. Linear EEG Decoding

After preprocessing of the EEG signal (described in *2.6*), we assess the temporal dynamics of patterns which differentiate agency and non-agency trials using the temporal generalization method (King and Dehaene, 2014). In this approach, a linear classifier is trained on the pattern of voltages at each timepoint (using a training set), and then its classification performance is quantified at every other timepoint (using a test set), yielding information about both the occurrence and duration of neural patterns which predict the outcome of interest.

In our case, we use a logistic regression (fit using generalized estimating equations to account for subject-level random effects) as a linear classifier (Liang and Zeger, 1986; Seabold and Perktold, 2010) to predict agency judgments, and we quantify classification performance using the area under the receiver-operator curve (ROC-AUC), a non-parametric, criterion-free metric of class separation. By "criterion-free" we mean that, unlike metrics such as accuracy

234 which depend on a particular decision boundary, ROC-AUC reflects the tradeoff between false 235 positives and false negatives across all possible decision boundaries; due to its weak 236 assumptions, approximately normal distribution under the null hypotheses, and robustness to 237 class imbalances, ROC-AUC is often recommended for multivariate pattern analyses of the EEG 238 (King and Dehaene, 2014). Classification performance is calculated only on hold-out subjects 239 (i.e. subjects not seen during classifier training), in a stratified 10-fold cross-validation scheme 240 repeated 10 times. Cross-validated ROC-AUC scores are compared to chance performance (ROC-AUC = 0.5) using a one-sample *t*-test with a variance correction to account for non-241 242 independence between ROC-AUC values computed across cross-validation splits (Nadeau and Bengio, 1999). 243

244 This results in a $n_{\text{times}} \times n_{\text{times}}$ matrix M, where M_{ij} is the performance of the classifier 245 trained at time i evaluated at time j, as well as a p-value for each (i, j) pair. The "shape" of 246 above-chance decoding performances can then be interpreted as providing information as to the 247 temporal characteristic of predictive patterns of neural activity. For instance, if a pattern is predictive only on the diagonal (i = j), that pattern is transient. On the other hand, if 248 249 classification performance remains above chance off-diagonal (j > i), then one can conclude the 250 same pattern persists (and continues to predict SoA) across time. However, such conclusions are 251 only licensed if one corrects for multiple comparisons using a method that allows inference about 252 the "shape" of an effect, which common cluster-based corrections in the EEG literature do not 253 (Sassenhagen and Draschkow, 2019). We use All-Resolutions Inference (Rosenblatt et al., 2018), 254 which can compute simultaneous lower bounds on the true positive proportion in each cluster across all $n_{\text{times}} \times n_{\text{times}}$ possible clustering thresholds. This approach conveys uncertainty about 255 256 the localization of true effects within clusters. For instance, if the proportion of true positives in a

cluster is low, then one can conclude there is are true positive effects within the cluster but it is
unclear precisely where; conversely, if the proportion is high (e.g., >95%), then the localization
is quite certain.

260

261 2.4.3. Complexity-based EEG Decoding

In this analysis, we assessed whether certain complexity measures of EEG response to stimulation – the fractal dimension and the Hurst exponent – could predict agency judgments. These metrics measure nonlinear properties of timeseries which can be used to inform qualitative claims about those timeseries' underlying dynamics.

266 The fractal dimension, which we estimate using Higuchi's algorithm (Higuchi, 1988), is a 267 measure of the complexity or "roughness" of a time series (or of its underlying dynamical 268 attractor). The fractal dimension of both the background EEG and the EEG response to perturbation is highly predictive of states of consciousness (Kesić and Spasić, 2016; Ruiz de 269 270 Miras et al., 2019), consistent with some accounts of conscious awareness (Oizumi et al., 2014). 271 Some preliminary evidence suggests that fractal dimension is higher for conscious percepts that 272 are internally generated (e.g. mind wandering), making it a reasonable candidate predictor for 273 sense of agency (Ibáñez-Molina and Iglesias-Parro, 2014). However, the interpretation of the 274 fractal dimension on its own it ambiguous; it can be interpreted as reflecting how "self-similar" 275 or "scale-free" a time series is, or alternatively as reflecting the local complexity of its dynamics. 276 These interpretations can be disambiguated in the context of the Hurst exponent.

The Hurst exponent, which we estimate using rescaled range analysis, is a measure of long-range temporal dependencies in a time series (Qian and Rasheed, 2004). In the cognitive

neuroscience literature, these long range dependencies have been argued to reflect how much
local events – such as an external input – can alter the course of a neural system, assuming that
events which substantially impact the system should have consequences which persist in time
(Churchill et al., 2016; Kardan et al., 2020; Zhuang et al., 2022). Hurst is notably suppressed in
those suffering from psychiatric conditions associated with an impaired sense of agency
(Sokunbi et al., 2014; Stier et al., 2021).

285 If a time series is strictly self-similar, then the fractal dimension D will be related to the Hurst exponent H by the deterministic relationship D + H = 2 (Gneiting and Schlather, 2004), 286 287 but these metrics have been reported to diverge in EEG data despite the 1/f power spectrum of 288 resting/background EEG implying some degree of self-similarity in the signal (Martis et al., 289 2015). Unfortunately, estimates of the Hurst exponent computed from time-series as small as our 290 single-trials are known to be biased (Oliver and Ballester, 1998; Eke et al., 2000, 2002). While 291 the bias of our Hurst estimates prevents us from testing the D + H = 2 relationship directly, 292 within-subject variation in trial-by-trial Hurst exponents estimated from EEG have been shown 293 to be sensitive to cognitive functions (Kardan et al., 2020). Thus, if the EEG time series is self-294 similar or scale-free, then should the fractal dimension increase with agency, Hurst should 295 decrease and vice versa. However, if they both positively or both negatively covary with agency 296 (or one covaries and not the other), then the EEG response to stimulation is unlikely to be self-297 similar.

Since we perform this analysis at the electrode-level (instead of training a single classifier on all electrodes), we apply a current source density transformation before computing perelectrode complexity measures to increase the interpretability of spatial information in the EEG signal (Kayser and Tenke, 2015). For each electrode and subject, then, we compute the ROC-

AUC between both of these metrics and subjects' self-reported agency. Since there are no fit-tothe-data parameters in this analysis, no cross-validation scheme is necessary; a one-sample, twosided *t*-test is used compare subject-level decoding performance to chance (ROC-AUC = 0.5).

305

306 2.5. Electrical Muscle Stimulation

Before the experiment began, two EMS electrodes were applied to the skin above the *flexor digitorum profundus* muscle on the right (dominant) forearm, which is an easily accessible muscle that moves the ring finger, which subjects used to press the button during the experiment. Stimulation was performed with a *RehaStim 1* device (*HASOMED* GmbH, Mageburg, Germany). On each trial, muscle actuation consisted of a single, biphasic pulse of constant current stimulation lasting 900 microseconds (400 µs positive, 400 µs negative, separated by 100 µs).

313 Before beginning the experiment, we calibrated the stimulation amplitude to the 314 minimum intensity required to reliably move the subject's finger. The calibration procedure was 315 as follows: (a) The subject placed their ring finger on the button that would be used during the 316 experiment and was instructed not to move their hand. (b) Starting at an intensity of 1 mA, we 317 stimulated the subjects' arm 10 times. If fewer than 10 button presses were registered, then we 318 iterated the intensity by 1 mA and repeated. (c) We stopped increasing the intensity upon 319 achieving 10 consecutive actuated button presses, or if a conservative safety limit of 25 mA was 320 reached.

321

322 2.6. EEG Acquisition and Preprocessing

323 EEG was recorded with 64 active Ag/AgCl electrodes (actiCHamp, Brain Products, Munich, 324 Germany) placed according to the extended 10-20 system. At the time of recording, the 325 electrodes were referenced to Cz and sampled at 10,000 Hz. Two of the 64 electrodes (which 326 would have been AF7 and AF8 on the typical *actiCAP* layout) were dropped below the left and 327 right eyes so that they could later be re-referenced to become EOG channels. Experiment events 328 were marked in the EEG recording using TTL triggers and later corrected with a photo-sensor 329 (Brain Products, Munich, Germany) on the subjects' screen. The precise subject-specific 330 positions of the 62 head electrodes were measured at the end of each recording using a CapTrak 331 (Brain Products, Munich, Germany).

332 EEG was later preprocessed in Python using MNE-Python package (Gramfort et al., 333 2014). First, we fit a multi-taper estimation of the sinusoidal components at the line noise 334 frequency and its first two harmonics to partially attenuate electrical interference before 335 interpolating the stimulus artifact. Then, the electrical artifact from the EMS pulse was removed 336 by linearly interpolating over the interval starting 5 ms before and ending 10 ms after the event 337 timestamp. Then, after interpolation, we applied an additional FIR notch filter at 60 Hz and its harmonics up to the intended upper passband edge (see below) to thoroughly clean the data of 338 339 line noise, and then resampled the data to 5,000 Hz to improve the speed of computation for 340 subsequent preprocessing steps.

Next, we applied common preprocessing operations in adherence with the standardized *PREP* preprocessing pipeline for EEG data (Bigdely-Shamlo et al., 2015) using the implementation in the *PyPREP* package (Appelhoff et al., 2022). This pipeline robustly rereferences the EEG signal to the average of all electrodes and interpolates electrodes it determines have poor signal quality; see the PREP paper for a full description (Bigdely-Shamlo

et al., 2015). A record of which channels were interpolated is available in subject-specific
preprocessing reports (see 2.7).

348 Then, we filtered the data to the frequency band used for analysis. We used a single low 349 cutoff of 1 Hz to remove low-frequency drift, but we used different high cutoff values for the 350 different analysis described in 2.4.2 and 2.4.3 above. For linear decoding (2.4.2) we used a 30 351 Hz high cutoff; this filter setting is common for the analysis of event related potentials, as this 352 level of temporal smoothing helps to align short neural events across subjects (Luck, 2014), and 353 we posited such smoothing would likely improve sensitivity for between-subject decoding as we 354 employ here. However, since fractal dimension is fundamentally a measure of signal roughness, 355 which would be distorted by anything that would artificially smooth the signal, we used a more 356 liberal 70 Hz high cutoff for the fractal analysis described in 2.4.3.

We then removed EOG contamination of the EEG signal. We decomposed to EEG data into 15 independent components (ICs) using the *FastICA* algorithm (Hyvarinen, 1999). Then, we correlated each IC with the EOG channels, *z*-scored the correlation coefficients, and deemed an IC to contain eye artifact if the absolute value of its *z*-score exceeded 1.96. Those ICs were zeroed out to remove them from the original data. Plots of the scalp topographies of removed ICs for each subject can be found in their preprocessing reports (see *2.7*).

Subsequently, we segmented the data into epochs starting 100 ms before the onset of stimulation and ending 500 ms after stimulation. We then estimated the peak-to-peak rejection threshold that would be used to identify trials containing unwanted artifacts using the *Autoreject* package (Jas et al., 2017), which estimates the optimal threshold as that which minimizes the 5fold cross-validated root-mean-squared difference between the mean of the training folds and the

median of the testing fold, a robust proxy metric for signal-to-noise. The resulting per-subject
 rejection thresholds are recorded in each subjects' preprocessing report (see 2.7).

370 Since the visual evoked response to the movement cue is unlikely to be over by the time 371 of stimulation, we attempted to remove the visual evoked response from our epoched data to 372 minimize confounds. To do so, we computed evoked responses to both the visual and electrical 373 stimuli simultaneously using a regression-based overlap correction on the continuous (non-374 epoched) data, excluding second-long chunks of the data in which peak-to-peak amplitude 375 exceeds the rejection threshold (Smith and Kutas, 2015); conceptually, this is very similar to the 376 way generalized linear models (GLMs) are used to deconvolve hemodynamic responses in fMRI. 377 Then, the overlap-corrected visual evoked response was aligned with the epoched version of the 378 data and subtracted out. Thus, the average visual response to the movement cue was removed 379 from the stimulation-locked epochs. Subject-level evoked responses can be found in our open 380 dataset and are visualized in the subject-specific preprocessing reports (see 2.7).

Finally, the rejection threshold was applied to the cleaned and overlap-corrected epochs, removing trials still contaminated by artifacts. The surviving epochs were down-sampled to twice their high-cutoff frequency for computational expediency and saved for further analysis. This epoched data is available in our open dataset, and subject-level trial yields are recorded in the accompanying quality check reports (see 2.7).

386

387 2.7. Data and Code Availability

388 Code for running the experiment can be found on *GitHub* (<u>github.com/apex-lab/agency-</u> 389 <u>experiment</u>) and in a permanent archive on *Zenodo* (<u>doi.org/10.5281/zenodo.7894011</u>).

Similarly, all data analysis code, including EEG preprocessing code, can be found at
github.com/apex-lab/agency-analysis and <u>https://doi.org/10.5281/zenodo.7894007</u>. All data,
including both raw data, preprocessed derivatives, and post-preprocessing quality check reports
for each subject, can be found on *OpenNeuro* (<u>doi.org/10.18112/openneuro.ds004561.v1.0.0</u>).

394

395 2.8. Statistical Power

396 There is no widely agreed-upon approach for estimating the statistical power for detecting novel 397 EEG effects, in which the spatiotemporal distribution of the effect is unknown a priori, as we 398 recently reviewed (Veillette et al., 2023b). Statistical power for EEG effects depends not just on 399 the number of subjects but also on the number of trials, and how these two design considerations 400 interact to affect power seems to differ between components of the EEG response (Boudewyn et 401 al., 2018; Hall et al., 2023; Jensen and MacDonald, 2023). However, statistical power for well-402 known EEG effects has been studied using a recently introduced Monte Carlo simulation 403 approach (Boudewyn et al., 2018), and it is worth considering how well our study is powered for 404 detecting effects reported in the literature. While we and others have found, using such a 405 simulation-based approach, that a relatively small number of subjects and trials achieves very 406 high statistical power for detecting the presence of seven endogenous EEG evoked response 407 effects (Jensen and MacDonald, 2023; Veillette et al., 2023b), our main study result - that which 408 differs from previous EEG studies of SoA - concerns an early (<200 ms) effect, and such effects 409 usually reflect amplitude changes in exogenous response components present in both conditions 410 rather than the presence or absence of an endogenous component. This more realistic case has 411 been studied for three early evoked response components (Hall et al., 2023). Closest to our 412 sample size, Hall and colleagues report that a within-subject design with a sample of 25 subjects,

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413 each having 120 trials per condition, achieves a power of at least 0.8 for detecting a 1.4 μ V 414 amplitude difference in the N1 component (in the window of 84-124 ms), a 1.3 μ V difference in 415 the Tb component (124-164 ms), and a 1.7 μ V difference in the P2 component (151-191 ms) 416 with a significance level of 0.05. Based on this comparison, we would expect our linear 417 classifiers to be sensitive (i.e. with power of roughly 0.8) to amplitude differences on the order of 418 ~1.5 μ V.

419

420 3. Results

421 3.1. Bayesian optimization effectively controls the proportion of trials perceived as self-caused.

The Bayesian Optimization procedure resulted in trial-by-trial stimulation latencies honing in on
some threshold estimate throughout the stimulation block. A representative time course is shown
in Figure 2.

425 After removing trials in which stimulation failed to produce a muscle movement (and 426 therefore the "reaction" time was not a function of stimulation latency), our multilevel model of 427 the recorded reaction times estimated a 99.9% posterior probability that button presses occurred 428 earlier in the stimulation block than in either of the other blocks. In particular, we estimate that 429 "reaction" times resulting from EMS-actuated movements were between 17.5 ms and 65.0 ms 430 faster than true reaction times in the first (pre) block with 95% probability, and between 13.8 ms and 43.9 ms faster than those in the final (post) block. A nominal speedup between the pre and 431 432 post blocks was observed with 90.6% probability (95% HDI: [-6.7 ms, 32.8 ms]), suggesting that 433 subjects may have improved their reaction times by the end of the task, but not enough to 434 account for the much lower reaction times in the stimulation block. Posterior distributions for the

(group) mean response times in each condition are shown in Figure 3. Taken together with the near linear relationship between stimulation latency and reaction time, we can conclude that movements were usually caused by muscle stimulation rather than the subject, effectively preempting subjects' volitional movements.

While it is evident that muscle movements in the stimulation block (after outlier removal) were overwhelmingly caused by EMS rather than by the subject, subjects still reported that they caused roughly half of the movements. Overall, after outlier removal (see Figure 3), 51.98% of all trials across all subjects were judged as self-caused. On average, subjects reported that they caused 50.99% (SD: 14%) of movements. In other words, the Bayesian Optimization procedure was effective at controlling the proportion of trials in which movements were experienced as self-caused, generating a roughly 50-50 split of agency vs. non-agency trials.

446 While it is understood that agency judgments in this task paradigm vary as a function of 447 the stimulation latency (Kasahara et al., 2019, 2021; Tajima et al., 2022), our Bayesian 448 optimization procedure converges to a narrow latency range around the 50% agency threshold 449 quickly enough to attenuate this confound. A logistic regression predicting agency judgments 450 from stimulation latency (with a subject-level random effect) – notably the same approach we 451 use to predict agency judgments from the EEG signal – fails to find a statistically significant 452 relationship between the two (beta = 0.95, 95% CI: [-0.91, 2.82], p = 0.315). Thus, any residual 453 relationship between stimulation latency and SoA is unlikely to explain our EEG findings (see 454 below).

455

456 3.2. Distinct early and late neural processes predict agency judgments.

457 Our linear decoding procedure showed above-chance decoding performance across subjects, 458 reaching up to ROC-AUC = 0.587; thus, the patterns which we report predict agency judgments 459 generalize across individuals. While we report the true-positive proportion within clusters across 460 all clustering thresholds (see Figure 5b), we will focus primarily on the clusters in which the true positive proportion exceeds 95%, since these clusters are where we are sufficiently certain about 461 462 the localization of the effect (Rosenblatt et al., 2018). The grand-average EEG evoked response to muscle stimulation is provided, for visualization only, in Figure 4; this may be useful context 463 464 when considering predictive topographies, as shown in Figure 6.

465 The earliest such cluster occurs 83 ms after the onset of muscle stimulation (adjusted threshold: $p < 4.5 \times 10^{-6}$). This is substantially earlier than previous studies have localized the 466 earliest predictors of agency judgments (see Discussion), which may reflect a distinct role of 467 468 low-level sensorimotor processes in agency judgments pertaining to the musculature itself, but 469 less so to downstream sensory consequences of action. When comparing the patterns our 470 decoding model selects for (see Figure 6) to the average evoked response (see Figure 4), one 471 notes that the polarity of the pattern that predicts SoA is opposite the average response, 472 indicating that the classifier would predict a self-agency judgment as the result when the sensory 473 response is suppressed—a finding consistent with sensory attenuation (Voss et al., 2006). 474 Classifiers trained at earlier times do not generalize to predict SoA at later times (see Figure 5), 475 indicating that early prediction likely reflects a sequential chain of transient representations 476 during sensorimotor processing (King and Dehaene, 2014). Later in the epoch, however, the 477 temporal dynamics of the predictive patterns change to reflect a single, sustained neural signature

478 that predicts SoA starting by at least 250 ms after stimulation and persisting at least until the end

479 of the epoch (p < 0.003).

480

481 3.3. Fractal complexity of brain activity predicts agency judgments.

482 Notably, trial-by-trial fractal dimension predicted SoA at almost every electrode (see Figure 7), 483 reaching an ROC-AUC of 0.614 at electrode C1 (adjusted threshold: p < 0.027), even after the 484 current source density transformation of the EEG signal was applied to attenuate the effects of 485 volume conduction (see Methods). This suggest that the (local) complexity of the brain activity 486 is increased uniformly throughout cortex following muscle movement when that movement is 487 perceived as self-caused (as compared to when it is not perceived as self-caused). This is 488 consistent with the previous observation that neural activity corresponding to self-generated 489 percepts has a higher fractal dimension (Ibáñez-Molina and Iglesias-Parro, 2014).

490 On the other hand, the Hurst exponent only predicted SoA at a single electrode at position 491 FC1 (ROC-AUC = 0.559, p = 0.0006), located above cortical regions involved in motor control 492 and planning, contralateral to the arm in which stimulation occurred (though we did not vary the 493 arm used for stimulation, so we would caution against interpreting this as a strictly contralateral 494 effect, though it is suggestive). This finding suggests a much more selective modulation of long-495 range temporal dependencies, such that the activity of specific frontocentral cortical regions 496 becomes globally less to local perturbations in the absence of SoA-or, conversely, frontocentral 497 areas are more sensitive to inputs in the presence of SoA. Notably, since the Hurst exponent 498 (albeit only in one electrode) and fractal dimension both positively covary with agency, defying 499 the strictly inverse relationship they would show in a strictly self-similar time series (see

500 *Methods 2.4.3*), the EEG response to muscle movement appears to depart from (full) scale-501 freeness, at least over FC1. This divergence would allow the local complexity of and the 502 temporal persistence of perturbations to neural activity to be modulated independently (see 503 *Discussion*).

504 4. Discussion

505 Our findings advance our understanding of how the sense of agency (SoA) is generated in 506 the brain, with important implications for the relationship between conscious self-awareness and 507 unconscious self-referential processing. In particular, the time course neural activity predicting 508 SoA in response to muscle stimulation is more consistent with classical sensorimotor monitoring 509 accounts (Wolpert et al., 1995; Blakemore et al., 2000) than previous studies have shown 510 comparing the neural responses to self- and other-caused tones (Kühn et al., 2011; Timm et al., 511 2016). While results still leave room for common downstream correlates of agency, they suggest 512 that early responses differentiating self and other may be more *modality specific* than previously 513 thought.

514 In the comparator model of SoA, originally imported from the motor control literature 515 (Wolpert et al., 1995), sensations are compared to the intended or predicted sensory 516 consequences of actions, and then congruent feedback is deemed self-caused and incongruent 517 feedback externally caused (Feinberg, 1978; Frith, 1987; Gallagher, 2000). Since it is well 518 documented that early sensory responses, especially those that are predictable, are attenuated 519 during movement (Blakemore et al., 2000), it seemed plausible that the same machinery could parsimoniously account for conscious self-other discrimination. While this simple model is still 520 521 the basis of most modern accounts of SoA, it is now understood that the mechanisms of

522 conscious SoA diverge from low-level sensorimotor monitoring (Synofzik et al., 2008; Frith,
523 2012; Zaadnoordijk et al., 2019; Press et al., 2023).

524 To this effect, recent studies using typical paradigms, which probe the perception of 525 causality between a button press and subsequent tone (i.e., "broad" sense of agency over action 526 outcomes), have failed to find a relationship between the neural processes which would be 527 affected by low-level sensorimotor monitoring – that is, the early, pre-conscious (≤ 200 ms) 528 response to sensory stimulation - and conscious SoA (Voss et al., 2006; Kühn et al., 2011; Ohata 529 et al., 2020). Timm and colleagues report a full dissociation, showing that comparator-model-like 530 suppression of early responses to self-caused sensation occur in both the presence and absence of 531 SoA (Timm et al., 2016). Since decades of research tell us early ($\leq 200 \text{ ms}$) sensory responses 532 reflect preconscious, rather than conscious, processing of the sensory stimulus (Libet et al., 1967; 533 Sergent et al., 2005; Dehaene and Changeux, 2011), these findings have been interpreted as 534 meaning that temporally early "exogeneous" neural responses (i.e., those that are a direct 535 consequence sensory input) do not inform agency judgments, but later "endogenous" neural 536 responses (e.g., P3 component) associated with conscious attention do (Kühn et al., 2011). None of these authors argue against the general idea of a comparator, but rather suggest that the 537 538 comparison takes place at a higher level of abstraction than in the low-level sensorimotor 539 monitoring used to guide motor learning (Wolpert et al., 2011).

In contrast, we find patterns in the early sensorineural response to stimulation predicts SoA even when that sensation was not actually self-caused, as we exclusively analyzed trials in which movements were caused by EMS. The critical difference is that we measured the neural response to muscle stimulation, and subjects made agency judgments about *the muscle movement itself* rather than a downstream consequence of movement. Thus, the mechanisms that give rise

545 to narrow SoA over the musculature may overlap with basic sensorimotor processing more than 546 those mechanisms that give rise to SoA over action outcomes more far removed from a subject's 547 motor intention (Charalampaki et al., 2022). Previous work manipulating bodily agency by 548 altering the visual feedback from movement (leaving somatic feedback channels intact) has 549 primarily used fMRI (Tsakiris et al., 2010; Abdulkarim et al., 2023) or EEG methods lacking the 550 temporal resolution of the present approach (Kang et al., 2015); consequently, it is not totally 551 clear whether our very early (preconscious) decoding results differ from previous findings 552 merely because of our focus on SoA over body movements or because we additionally perturbed 553 somatic (not just visual) feedback channels. Regardless, our data support the view that the 554 earliest (pre-conscious) correlates of conscious SoA may differ based on context (i.e., what is one being asked to make a judgment about?), modality (e.g., proprioceptive or auditory), or level 555 556 of abstraction.

557 However, it is worth noting that the earliest neural correlates of agency are not the end of 558 the story. Indeed, the comparator model for SoA has largely been usurped by dual-process 559 models in which the outcome of an initial comparator process is integrated with prospective. 560 prior information to produce a final agency judgment (Synofzik et al., 2008; Haggard, 2017; 561 Legaspi and Toyoizumi, 2019), and there is no clear theoretical for why or how multiple 562 comparator processes taking place at multiple levels of abstraction may not be integrated into a 563 single agency judgment. In fact, the shift we observe from transient to sustained patterns of 564 neural activity predicting agency is quite consistent with that predicted by dual-process models 565 of action processing (Del Cul et al., 2009; Charles et al., 2014). Specifically, the sustained nature 566 of the predictive voltage patterns is consistent with a previously observed signature of high-level 567 novelty/error detection that has been argued to require conscious awareness (Dehaene and King,

568 2016) and previously proposed to inform agency judgments (Kühn et al., 2011). An intriguing 569 possibility then, which hybridizes the competing views proposed in the introduction, is that pre-570 conscious (roughly < 200 ms) predictors of SoA judgments will be context specific, but post-571 consciousness "neural correlates of self-awareness" integrate across modality-specific 572 comparators. We do not manipulate awareness of action and outcomes here, so it is up to future 573 work to test this hypothesis directly. Such investigations, which can compare sense of agency 574 over actions with SoA over those actions' downstream outcomes, are made possible by 575 extending the paradigm we introduce here.

576 Further, both the fractal dimension – a measure of local signal complexity or "roughness" 577 - and the Hurst exponent - a global measure of long-range correlation in a signal, indicative of 578 how long a perturbation (e.g. sensory input) in the measured system would persist in time - were 579 able to classify trial-by-trial SoA. However, the Hurst exponent was only predictive of SoA in a 580 single frontocentral electrode, whereas fractal dimension was robustly predictive across the 581 whole scalp. Both of these measures are often interpreted as reflecting a self-similarity or scale-582 free property of a time series, often appealing to theories of self-organized criticality as an 583 explanatory framework (Churchill et al., 2016; Kardan et al., 2020; Zhuang et al., 2022). Indeed, 584 the self-similarity interpretation has been invoked in explaining why the fractal dimension of 585 neural activity corresponding to self-generated percepts is higher than that to external stimuli 586 (Ibáñez-Molina and Iglesias-Parro, 2014). In a truly self-similar time series, however, fractal 587 dimension and the Hurst exponent are strictly inversely related (Gneiting and Schlather, 2004); 588 in contrast, both values positively covaried with SoA in the electrode in which we find Hurst was 589 predictive. This finding suggests the neural response to muscle movement (as reflected in EEG) 590 is not strictly self-similar, and so its complexity and sensitivity to perturbation can vary

591 independently. While admittedly quite speculative, this observation may be interpreted as having 592 functional importance, allowing sensorimotor cortical regions (which could possibly account for 593 the frontocentral Hurst effect) to selectively modulate sensitivity to input, while overall cortex 594 shows higher signal complexity with sense of agency.

595 In summary, while SoA has become a topic of increased attention in recent decades, most 596 research in the area has focused on the experience of agency over downstream consequences of 597 one's actions as they affect the external world rather than the more basal experience of directing 598 one's own muscles (Haggard, 2008, 2017). We introduce the use of human-in-the-loop Bayesian 599 optimization, in combination with electrical muscle stimulation, to experimentally manipulate 600 the subjective experience of controlling the musculature. As we showcase here, this approach 601 enables novel behavioral and neuroimaging investigations into the substrate of embodied self-602 awareness. Our results provide confirmatory evidence for the predictive relationship between 603 low-level sensorimotor processes and SoA for muscle movements, which seems not to hold for 604 the sensory response to action consequences (Dewey and Knoblich, 2014; Timm et al., 2016). 605 While our findings suggest that early neural correlates of SoA may differ by context and 606 modality, the transition from transient to sustained neural patterns that predict SoA in our data 607 suggest at least two distinct neural processes contributing to agency judgments, as posited by 608 dual-process theories of action selection and monitoring (Del Cul et al., 2009). This leaves open 609 the possibility that modality-specific, pre-conscious predictors of SoA are still integrated into a 610 single agency judgment downstream. Such a possibility could explain how information from 611 multiple scales of biological organization are integrated into a unified experience of self, even if 612 the mechanism of self-other differentiation differs across scales. We suggest that this hypothesis 613 is a fruitful avenue of research for the emerging science of self-awareness.

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815 **Figure Captions** 816 817 Figure 1: Task design. (a) Full experiment consists of a short pretest to gauge subjects' reaction times, a 818 stimulation block, and a posttest block to ensure true reaction times did not change dramatically over the course of 819 the experiment. (b) Trials follow a typical cue-response reaction time paradigm, in which subjects are asked to press 820 a button as quickly as possible following a cue to move. (b) In the stimulation block, subjects still attempt the 821 reaction time task, but their natural movements can be preempted by muscle stimulation. After each trial, subjects 822 guess whether the muscle movement resulting in the button press was self-caused or caused by muscle stimulation. 823 Responses are used to tune the timing of muscle stimulation to a latency between 50-600 ms at which roughly 50% 824 of trials are perceived as self-caused via Bayesian optimization (as shown in Figure 2). 825

Figure 2: Trial-by-trial stimulation latency over the course of the stimulation block for a representative subject. (a) Stimulation latency hones in on a stable value over time, as a result of the Bayesian Optimization procedure. (b) A logistic regression computed after the experiment and shows that stimulation times are close to the retroactively estimated 50% threshold, even though that threshold was not known in advance. The subject featured here is "sub-07" in the associated dataset.

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Figure 3: Electrical muscle stimulation consistently preempted subjects' volitional movements. (a) Posterior distributions of the mean reaction times in each condition show that EMS-induced muscle movements occur earlier than subjects' natural muscle movements. (b) After outlier removal, measured "reaction" times (shown for all trials and subjects) are a nearly linear function of the stimulation latency, indicating that movements in the remaining trials are, in fact, EMS-actuated.

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Figure 4: The grand-average evoked EEG response to muscle stimulation. The depicted waveform was computed by averaging the preprocessed (1-30 Hz filtered) data across stimulation trials within each subject, and then averaging the resulting subject-level EEG responses to obtain a group-level average.

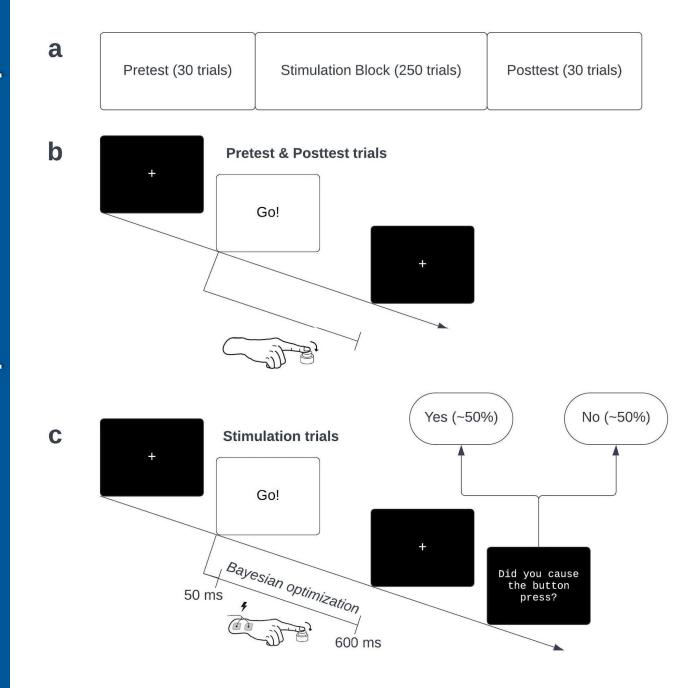
841 Figure 5: Temporal generalization of neural patterns predicting sense of agency. (a) Classification performance 842 (ROC-AUC) for decoding subjects' judgement of agency for individual muscle movements, cross-validated across 843 subjects and across time. Results are shown for all (train-time, test-time) pairs to visualize the temporal dynamics of 844 patterns that predict SoA. Above-chance decoding only near the diagonal reflects neural patterns which predict 845 agency only transiently, whereas above chance decoding far off-diagonal reflects patterns which are sustained over 846 time. Thus, patterns predicting agency appear to transition from transient to sustained dynamics around 170 847 milliseconds following stimulation. (b) Lower bounds on the true-positive proportion (TPP) within clusters, 848 computed across all clustering thresholds. The value represented at each (train-time, test-time) pair is the highest 849 TPP of any cluster in which that pair is included, thus larger values reflect greater certainty in the localization of 850 effects.

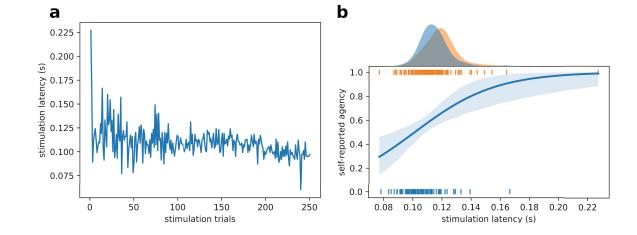
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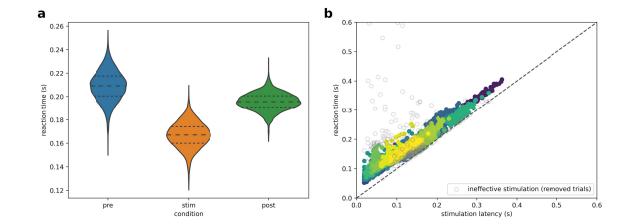
Figure 6: Voltage patterns that predict sense of agency. (a) The EEG topographies that the linear classifiers trained at each timepoint select for, reconstructed by inverting the trained classifier parameters using Haufe's trick (Haufe et al., 2014). (b) The decoding performance when testing at each train time (identical to the values on the diagonal in Fig. 5a). Training times are highlighted in yellow if included in a cluster with TPP > 0.95 at any test time (Fig. 5b).

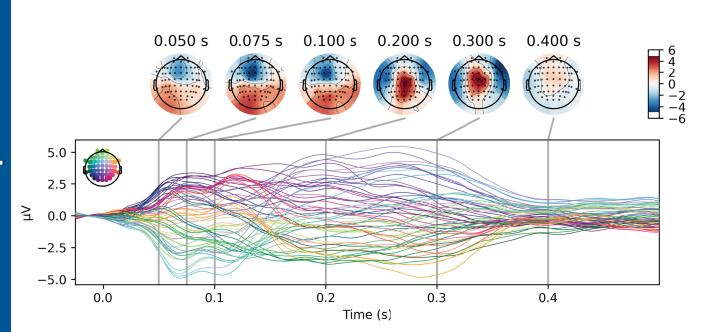
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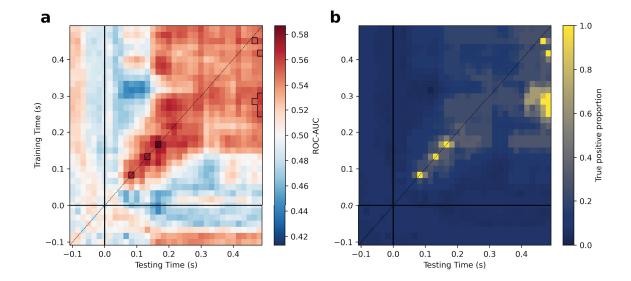
Figure 7: Classification performance for predicting trial-by-trial sense of agency from single-electrode fractal
 metrics. (a) Classification performance for Higuchi fractal dimension. (b) Classification performance for the Hurst
 exponent. Electrodes included in clusters in which the true positive proportion exceeds 95% are marked with white.

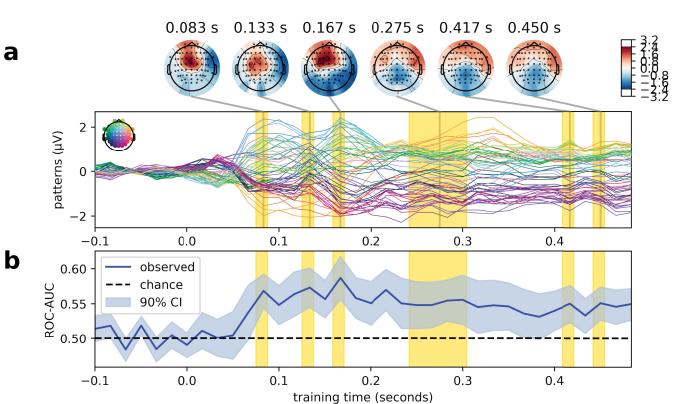












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